

# Yavapai Financial Planning, LLC

An Arizona Registered Investment Adviser

CRD # 115912

1458 W Gurley Street

Prescott AZ 86305

(928) 445-7238

[www.yfplan.com](http://www.yfplan.com)

## **Trinity Nicole Steidinger**

Investment Adviser Representative

CRD # 7665371

## Form ADV Part 2B

Brochure Supplement

February 7, 2023

This brochure provides information about Trinity Steidinger that supplements Yavapai Financial Planning, LLC Form ADV Part 2A firm brochure. You should have received a copy of that brochure. Please contact Mr. Anderson, Chief Compliance Officer, at (928) 445-7238 if you did not receive the full brochure or if you have any questions about the contents of this supplement. Additional information about Trinity Steidinger is available on the Securities and Exchange Commission's (SEC) website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) under CRD # 7665371.

Questions relative to the firm, its services, or this Form ADV Part 2B may be made to the attention of Mr. Anderson at (928) 445-7238. Additional information about the firm, other advisory firms, or associated investment adviser representatives is available on the Internet at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

The contents of this brochure have not been approved or verified by the SEC or any other state or federal governmental authority. While the firm and its associates may be registered with the State of Arizona or other jurisdictions, it does not imply a certain level of skill or training on the part of the firm or its associated personnel.

## **Item 2 - Educational Background and Business Experience**

Regulatory guidance requires the firm to disclose post-secondary education and professional training for each principal executive and associate of the firm, as well as their business experience for at least the most recent five years. Earlier employment history for an investment adviser representative may be found at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

### **Trinity Nicole Steidinger**

<u>Year of Birth</u>	<u>CRD Number</u>
2003	7665371

### ***Educational Background and Business Experience***

#### Educational Background

High School Diploma, Trinity Christian School; Prescott, AZ

#### Recent Business Background

Yavapai Financial Planning, LLC (2022-Present)  
Prescott, AZ  
Office Assistant/Investment Adviser Representative

Zebrascaples (2022-Present)  
Prescott, AZ  
Office Assistant

Trinity Christian School (2022)  
Prescott, AZ  
Administrative Assistant

Café 10 (2021-2022)  
Prescott, AZ  
Waitress

High Desert Building and Barns (2021)  
Prescott, AZ  
Administrative Assistant

Trinity Christian High School (2016-2021)  
Prescott, AZ  
Student

## **Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose certain material facts about its associated personnel regarding any legal or disciplinary events, including criminal or civil action in a domestic, foreign, or military court, or any proceeding before a state, federal or foreign regulatory agency, self-regulatory organization, or suspension or sanction by a professional association for violation of its conduct rules material to the evaluation of each officer or a supervised person providing investment advice. Trinity Steidinger has not been the subject of any such event.

#### **Item 4 - Other Business Activities**

Investment adviser representatives are required to disclose outside business activities that account for a significant portion of their time or income, or that may present a conflict of interest with their advisory activities.

Trinity Steidinger does not have a material relationship with an issuer of a security, nor does she have a reportable outside business activity. She is not registered, nor has an application pending to register, as a registered representative of a broker/dealer or associated person of a futures commission merchant, commodity pool operator, or commodity trading adviser, nor is she supervised by such a firm.

Ms. Steidinger does not receive commissions, bonuses, or other compensation based on the sale of securities, including that as a registered representative of a broker/dealer or the distribution or service fees (“trails”) from the sale of mutual funds.

Ms. Steidinger also works part-time as an office assistant at Zebrascaapes, a landscape services company in Prescott, AZ. Ms. Steidinger spends approximately 20 hours per week at this other business. Zebrascaapes does not offer investment advice, is not a registered investment advisor or other financial services entity and is not affiliated with Yavapai Financial Planning, LLC.

#### **Item 5 - Additional Compensation**

Neither our advisory firm nor Ms. Steidinger are compensated for advisory services involving performance-based fees. Firm policy does not allow associated persons to accept or receive additional economic benefit, such as sales awards or other prizes, for providing advisory services to firm clients.

#### **Item 6 - Supervision**

The firm supervises Trinity Steidinger by requiring that she adhere to our processes and procedures as described in our firm’s Code of Ethics and procedural guidelines. We monitor the advice that Ms. Steidinger may provide you by performing the following ongoing reviews:

- account opening documentation when the relationship is established
- review of financial plans and/or investment account transactions
- a review of client communications on an as needed basis, and
- periodic office reviews where required by policy or regulation.

Mr. Anderson serves as Ms. Steidinger’s supervisor. Questions relative to our firm, its services, our Form ADV Part 2A, or an associate’s Form ADV Part 2B, may be made to the attention of Mr. Anderson at (928) 445-7238.

Additional information about our firm, other advisory firms, or associated investment adviser representatives, including Ms. Steidinger, is available on the Internet at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). A search of this site for firms or their associated personnel can be accomplished by name or a unique firm identifier. Ms. Steidinger’s CRD number is 7665371, and the IARD number for Yavapai Financial Planning, LLC is 115912. The business and disciplinary history, if any, of an investment advisory firm and its representatives may be obtained by calling the securities commission in the state where the client resides. If a representative is or has been associated as registered representative of a FINRA-member broker/dealer, that person’s information may also be found at <https://brokercheck.finra.org/>.

**Item 7 - Requirements for State-Registered Advisers**

There have been neither awards nor sanctions or other matter where Trinity Steidinger or Yavapai Financial Planning, LLC has been found liable in an arbitration, self-regulatory, or administrative proceeding. Neither Ms. Steidinger nor Yavapai Financial Planning, LLC has been the subject of a bankruptcy petition or other material reportable financial events.